REGENTS PARK SECURITIES

Corporate Account Documentation Requirements

Thank you for your interest in opening an account with Regents Park Securities Ltd.

We are pleased to assist with the account opening process to make it as straightforward as possible.

To apply for a corporate account, please provide the following documents.

1. Identification

- **Identification**: Copies of national government issued identification such as passport or national ID card for each of the controlling persons of the account.
- **Proof of address:** Copies of official documents showing your current residential address, such as a utility bill or driver's license.
    - Proof of address must be dated within six months of the application date.
    - In some cases, a second proof of address may be required.

- **Bank account verification:** A copy of the bank statement for the account listed in the application for wire withdrawals (the address must match the proof of address held on file).

2. Corporate Documentation

- Incorporation/business certificate or similar company registration document
- Certificate of incumbency or individual registers showing:
    - Directors and,
    - Shareholders
- Board resolution

3. CRS Form –Tax Information (Applicable Form)

- RPS Entity CRS Self-Certification Form
- RPS Controlling Person CRS Self-Certification Form

4. Legal Identity Identifier Code

For regulatory purposes, we require that your corporation to have a Legal Identity Identifier (LEI) code. If it does not yet have one, you will need to apply for one. Please fill in the "LEI Registrant Authorisation – Individual Entity" form.

Authorised and regulated by the Financial Conduct Authority. Firm reference no: 767767.
Regents Park Securities is a limited company registered in England and Wales. Registered number: 10094274.
Registered office address: 77 New Cavendish Street, London, United Kingdom, W1W 6XB.

5. Regulated Entities

If your company is a regulated financial institution, please include documents from your regulator including:

- Regulatory authorisation or registration
- Permissions granted by your regulator

6. Account Forms

- Signed and completed account application form.
- Signed and completed professional client classification form, if applicable.

7. Other Documentation

- If any of the directors or controlling persons of the entity are considered a Politically Exposed Person (PEP), please include supporting documentation.
  - IRS form W-8BEN-E or W-8IMY (for trading in US markets)

Please provide any documentation submitted electronically in PDF format.

Once again, thank you for your interest in Regents Park Securities and we look forward to opening your account expeditiously.

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